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DEC 0 7 2009

OFFICE OF PETITIONS

In re Application of

Weltzin et al.

Application No. 10/826,680

Filed: April 16, 2004

Attorney Docket No. 06132/091001

LETTER REGARDING

PATENT TERM ADJUSTMENT

This letter is in response to the "Submission Concerning Patent Term Adjustment Calculation" filed July 10, 2009. Pursuant to applicants' duty of good faith and candor to the Office, applicants request the determination of patent term adjustment under 35 U.S.C. 154(b) be reviewed for accuracy.

The request for review of the patent term adjustment is **GRANTED**.

The Office has updated the PALM screen to reflect that the Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is 371 days. A copy of the updated PALM screen, showing the correct determination, is enclosed.

On April 10, 2009, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) in the above-identified application. The Notice stated the patent term adjustment to date is 547 days.

Applicants state "the patent term adjustment indicated in the Determination appears to be longer than appropriate." Applicants do not state a basis for their disclosure that the Patent Term Adjustment on the Notice of Allowance disagrees with their apparent calculation of patent term adjustment. However, a review of the record reveals that the initial determination of patent term adjustment of 547 days is incorrect.

The Office mailed a final Office action on December 14, 2007. The final Office action allowed 2 claims and rejected 20 claims. Applicants filed a Notice of Appeal on June 16, 2008. Applicants filed a request for a five-month extension of time on January 15, 2009, but did not file a reply brief. The Office mailed a "Communication Re: Appeal" on April 10, 2009, stating the appeal was dismissed. The Office also mailed a Notice of Allowance on April 10, 2009.

<sup>&</sup>lt;sup>1</sup> 37 C.F.R. § 41.37(b) states, "On failure to [timely] file the brief, accompanied by the required fee, ... the appeal will stand dismissed."

The Office entered an increase in patent term adjustment of 176 days for delay in the filing of the Notice of Allowance. In other words, the Office increased the patent term adjustment by the number of days beginning on the day after the date four months after the Notice of Appeal was filed and ending on the date the Notice of Allowance was mailed.

The 176 day increase in patent term adjustment is not supported by any of the grounds listed in 37 C.F.R. § 1.703(a) and was improper. Pursuant to 35 U.S.C. 154(b)(1)(A)(ii), entry of a period of adjustment for Office failure to respond to a reply under section 132, or to an appeal taken under section 134, within 4 months after the date on which the reply was filed or the appeal was taken is proper. However, the phrase "the date on which" an "appeal was taken" in 35 U.S.C. 154(b)(1)(A)(ii) means the date on which an appeal brief (and not a notice of appeal) was filed. Moreover, the phrase "appeal brief in compliance with 37 CFR 1.192" requires that: (1) the appeal brief fee (37 CFR 1.17(c)) be paid (37 CFR 1.192(a)); and (2) the appeal brief complies with 37 CFR 1.192(c)(1) through (c)(9). 37 CFR 1.703(a)(4) pertains to the provisions of 35 U.S.C. 154(b)(1)(A)(ii) and specifies that the period is the number of days, if any, beginning on the day after the date that is four months after the date an appeal brief in compliance with 37 CFR 1.192 was filed and ending on the mailing date of any of an examiner's answer under 37 CFR 1.193, an action under 35 U.S.C. 132, or a notice of allowance under 35 U.S.C. 151, whichever occurs first. In this instance, no appeal was taken as no appeal brief was filed. See MPEP 2731. Accordingly, the 176-day increase in patent term adjustment will be removed.

In view of the prior discussion, the patent term adjustment at the time of mailing of the notice of allowance is 371 days.

As this letter was submitted as an advisement to the Office of an error in applicants' favor, the Office will not assess the \$200.00 fee under 37 CFR 1.18(e). The Office thanks applicants for their good faith and candor in bringing this to the attention of the Office.

Applicants are reminded that any delays by the Office pursuant to 37 CFR 1.702(a)(4) and 1.702(b) and any applicant delays under 37 CFR 1.704(c)(10) will be calculated at the time of the issuance of the patent and applicants will be notified of the revised patent term adjustment to be indicated on the patent in the Issue Notification letter that is mailed to applicants approximately three weeks prior to issuance.

The Office of Data Management has been advised of this decision. This matter is being referred to the Office of Data Management for issuance of the patent.

Telephone inquiries specific to this decision should be directed to Senior Petitions Attorney Steven Brantley at (571) 272-3203.

Nancy Johnson | Senior Petitions Attorney

Office of Petitions

Enclosure: Copy of REVISED PALM screen

Day: Thursday Date: 12/3/2009



## PALM INTRANET

Time: 18:13:04

PTA Calculations for Application: 10/826680						
Application Filing Date:	04/16/2004	PTO Delay (PTO):	723			
Issue Date of Patent:		Three Years:	0			
Pre-Issue Petitions:	0	Applicant Delay (APPL):	176			
Post-Issue Petitions:	0	Total PTA (days):	371			
PTO Delay Adjustment:	-176					

	File Contents History						
Number	Date	Contents Description	PTO	APPL	START		
80	12/03/2009	ADJUSTMENT OF PTA CALCULATION BY PTO		176			
63	04/10/2009	MAIL NOTICE OF ALLOWANCE	176		51		
62	04/07/2009	ISSUE REVISION COMPLETED					
ŀ		ALLOWED CASE RETURNED TO THE EXAMINER FOR CLERICAL PROCESSING					
60	04/01/2009	NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED					
59	03/27/2009	ELECTRONIC REVIEW					
58	03/27/2009	EMAIL NOTIFICATION					
57	03/27/2009	PG-PUB NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE					
56	03/16/2009	RECEIPT OF ALL ACKNOWLEDGEMENT LETTERS					
54	03/04/2009	DOCUMENT VERIFICATION					
52	02/27/2009	NOTICE OF ALLOWABILITY					
		NOTICE OF APPEAL FILED		94	47		
50	06/16/2008	REQUEST FOR EXTENSION OF TIME - GRANTED					
49	12/15/2007	ELECTRONIC REVIEW					
48	12/14/2007	EMAIL NOTIFICATION					
47	12/14/2007	MAIL FINAL REJECTION (PTOL - 326)					
46	12/10/2007	FINAL REJECTION					
43	10/01/2007	PARALEGAL TD ACCEPTED					
42	10/01/2007	PARALEGAL TD ACCEPTED					
41	09/24/2007	TERMINAL DISCLAIMER FILED		0	38		
40	09/24/2007	TERMINAL DISCLAIMER FILED					
39	09/28/2007	DATE FORWARDED TO EXAMINER					

38	09/24/2007	RESPONSE AFTER NON-FINAL ACTION		63	36
37	09/24/2007	REQUEST FOR EXTENSION OF TIME - GRANTED			
36	04/23/2007	MAIL NON-FINAL REJECTION			
35	04/19/2007	NON-FINAL REJECTION			
34	03/05/2007	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
33		REFERENCE CAPTURE ON IDS			
32.7	03/05/2007	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		19	30
32	03/05/2007	INFORMATION DISCLOSURE STATEMENT (IDS) FILED:			
31	02/22/2007	DATE FORWARDED TO EXAMINER			
30		RESPONSE TO ELECTION / RESTRICTION FILED			
29	02/14/2007	REQUEST FOR EXTENSION OF TIME - GRANTED			
28	12/15/2006	MAIL RESTRICTION REQUIREMENT	547		-1
27	12/11/2006	REQUIREMENT FOR RESTRICTION / ELECTION			
26	07/19/2004	INFORMATION DISCLOSURE STATEMENT CONSIDERED			
· 25	11/03/2006	CASE DOCKETED TO EXAMINER IN GAU			
24	03/12/2005	RECEIPT OF ACKNOWLEDGMENT LETTER			
23	11/16/2004	CASE DOCKETED TO EXAMINER IN GAU			
22	11/16/2004	IFW TSS PROCESSING BY TECH CENTER COMPLETE			
21		REFERENCE CAPTURE ON IDS			
20.7	07/19/2004	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
20	07/19/2004	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			·
19	10/29/2004	APPLICATION RETURN FROM OIPE			
18	10/29/2004	APPLICATION RETURN TO OIPE			_
17	10/29/2004	APPLICATION DISPATCHED FROM OIPE			
16	10/29/2004	APPLICATION IS NOW COMPLETE			
15	10/12/2004	PAYMENT OF ADDITIONAL FILING FEE/PREEXAM			
13	10/12/2004	A SET OF SYMBOLS AND PROCEDURES, PROVIDED TO THE PTO ON A SET OF COMPUTER LISTINGS, THAT DESCRIBE IN	·	·	
12	10/12/2004	A STATEMENT BY ONE OR MORE INVENTORS SATISFYING THE REQUIREMENT UNDER 35 USC		,	

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		115, OATH OF THE APPLIC		
10	10/25/2004	CRF IS GOOD TECHNICALLY / ENTERED INTO DATABASE		
9	10/05/2004	AGENCY REFERRAL LETTER MAILED		
8	08/12/2004	NOTICE MAILEDAPPLICATION INCOMPLETE FILING DATE ASSIGNED		
6	06/21/2004	REFERRED BY L&R FOR THIRD-LEVEL SECURITY REVIEW. AGENCY REFERRAL LETTER GENERATED		
. 5	06/17/2004	AUTO REFERRED BY PALM PRE EXAM		
4	05/19/2004	CLEARED BY OIPE CSR		
3	05/19/2004	CASE CLASSIFIED BY OIPE		
2	04/26/2004	IFW SCAN & PACR AUTO SECURITY REVIEW		
1	04/16/2004	INITIAL EXAM TEAM NN		

Search	Another:	Application#	Search
Seal CII	Anomer.	Application#:	Ocurcii

## **EXPLANATION OF PTA CALCULATION**

## **EXPLANATION OF PTE CALCULATION**

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